

Improving automation in interactive theorem provers by efficient encoding of lambda-abstractions

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1. Bracket abstraction.

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2. Experimental results.

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- ▶ Goal: translating HOL to first-order logic.

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- ▶ Goal: translating HOL to first-order logic.
- ▶ Requires elimination of lambda-abstraction.
- ▶ We replace lambda-lifting in HOLyHammer with various bracket abstraction algorithms and see how this affects the success rate of first-order ATPs on translated problems.

Bracket abstraction

Univariate ($\llbracket \cdot \rrbracket_A : V \times \text{CL}(B) \rightarrow \text{CL}(B)$) or multivariate ($\llbracket \cdot \rrbracket_A : V^n \times \Lambda \rightarrow \text{CL}(B)$).

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For univariate – induced translation:

$$\begin{aligned}H_A(x) &= x \\H_A(st) &= (H_A(s))(H_A(t)) \\H_A(\lambda x.t) &= [\lambda x]_A.H_A(t)\end{aligned}$$

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For multivariate: $H_A t = \llbracket t \rrbracket_A$ and
 $[x_1, \dots, x_n]_A.\lambda y.t = [x_1, \dots, x_n, y]_A.t$.

Bracket abstraction

The simple algorithm (fab) of Curry

$$B = \{S, K, I\}$$

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$$[x]_{(fab)}.x = I$$

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Worst case translation size: exponential in the size of the input term.

Bracket abstraction

A slightly better algorithm (abf)

$$\begin{aligned} [x]_{(\text{abf})} \cdot x &= \text{I} \\ [x]_{(\text{abf})} \cdot t &= \text{K}t && \text{if } x \notin \text{FV}(t) \\ [x]_{(\text{abf})} \cdot st &= \text{S}([x]_{(\text{abf})} \cdot s)([x]_{(\text{abf})} \cdot t) \end{aligned}$$

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Worst case translation size: $O(n^3)$.

Bracket abstraction

Schönfinkel's algorithm S

$$B = \{S, K, I, B, C\}$$

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Schönfinkel's algorithm S

$$B = \{S, K, I, B, C\}$$

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$$\begin{aligned} [x]_S.t &= Kt && \text{if } x \notin FV(t) \\ [x]_S.x &= I \\ [x]_S.sx &= s && \text{if } x \notin FV(s) \\ [x]_S.st &= Bs([x]_S.t) && \text{if } x \notin FV(s) \\ [x]_S.st &= C([x]_S.s)t && \text{if } x \notin FV(t) \\ [x]_S.st &= S([x]_S.s)([x]_S.t) \end{aligned}$$

Bracket abstraction

Schönfinkel's algorithm S

$$B = \{S, K, I, B, C\}$$

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Worst case translation size: $O(n^3)$ but with a smaller constant than (abf).

Bracket abstraction

Turner's algorithm T

$$B = \{S, K, I, B, C, S', B', C'\}$$

Bracket abstraction

Turner's algorithm T

$$B = \{S, K, I, B, C, S', B', C'\}$$

$$S' = \lambda kxyz.k(xz)(yz)$$

$$B' = \lambda kxyz.kx(yz)$$

$$C' = \lambda kxyz.k(xz)y$$

Bracket abstraction

Turner's algorithm T

$$\begin{array}{ll} [x]_T.t = Kt & \text{if } x \notin \text{FV}(t) \\ [x]_T.x = I & \\ [x]_T.sx = s & \text{if } x \notin \text{FV}(s) \\ [x]_T.uxt = \text{Cut} & \text{if } x \notin \text{FV}(ut) \\ [x]_T.uxt = Su([x]_T.t) & \text{if } x \notin \text{FV}(u) \\ [x]_T.ust = B'us([x]_T.t) & \text{if } x \notin \text{FV}(us) \\ [x]_T.ust = C'u([x]_T.s)t & \text{if } x \notin \text{FV}(ut) \\ [x]_T.ust = S'u([x]_T.s)([x]_T.t) & \text{if } x \notin \text{FV}(u) \\ [x]_T.st = Bs([x]_T.t) & \text{if } x \notin \text{FV}(s) \\ [x]_T.st = C([x]_T.s)t & \text{if } x \notin \text{FV}(t) \\ [x]_T.st = S([x]_T.s)([x]_T.t) & \end{array}$$

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Worst case translation size: $O(n^2)$.

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Turner's algorithm T

$$x_0, x_1, x_2, x_3 \in \text{FV}(s) \cap \text{FV}(t)$$

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$$x_0, x_1, x_2, x_3 \in \text{FV}(s) \cap \text{FV}(t)$$

$$[x_3]_T.st = S([x_3]_T.s)([x_3]_T.t)$$

Bracket abstraction

Turner's algorithm T

$$x_0, x_1, x_2, x_3 \in \text{FV}(s) \cap \text{FV}(t)$$

$$\begin{aligned} [x_3]_{T.st} &= S([x_3]_{T.s})([x_3]_{T.t}) \\ [x_2, x_3]_{T.st} &= S'S([x_2, x_3]_{T.s})([x_2, x_3]_{T.t}) \end{aligned}$$

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$$[x_1, x_2, x_3]_{T.st} = S'(S'S)([x_1, x_2, x_3]_{T.s})([x_1, x_2, x_3]_{T.t})$$

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Director strings

- ▶ Directors: `^/ \ -.`

Director strings

- ▶ Directors: $\wedge / \backslash -$.
- ▶ Application nodes decorated with strings of directors.

Director strings

- ▶ Directors: $\wedge / \backslash -$.
- ▶ Application nodes decorated with strings of directors.
- ▶ Abstraction algorithm (informal):

$$\lambda x.M_x N_x \rightarrow \wedge((\lambda x.M_x)(\lambda x.N_x))$$

$$\lambda x.M_x N \rightarrow /((\lambda x.M_x)N)$$

$$\lambda x.MN_x \rightarrow \backslash(M(\lambda x.N_x))$$

$$\lambda x.MN \rightarrow -(MN)$$

$$\lambda x.x \rightarrow I$$

$$\lambda x.y \rightarrow Ky$$

Director strings

Examples

USE THE BLACKBOARD

Lambda-lifting

Supercombinators

Definition

A *supercombinator* is a closed lambda-term of the form $\lambda x_1 \dots x_n. t$ where $n \geq 0$, the term t is not a lambda-abstraction, and each lambda-abstraction appearing in t is a supercombinator.

Lambda-lifting

Lambda-lifting L

$B =$ all supercombinators

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Lambda-lifting L

$B =$ all supercombinators

$$\begin{aligned}H_L(x) &= x \\H_L(t_1 t_2) &= (H_L(t_1))(H_L(t_2)) \\[x_1, \dots, x_m]_L \cdot \lambda y. t &= [x_1, \dots, x_m, y]_L \cdot t \\[x_1, \dots, x_m]_L \cdot t &= (\lambda y_1 \dots y_n x_1 \dots x_m. H_L(t)) y_1 \dots y_n \\&\quad \text{where } \{y_1, \dots, y_n\} = \text{FV}(t) \setminus \{x_1, \dots, x_m\}\end{aligned}$$

Abdali's algorithm

Abdali's (modified) algorithm A

$$B = \{B_n^m, E_n^m, S_n^{k,m} \mid n, m, k \in \mathbb{N}\}$$

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$$B = \{B_n^m, E_n^m, S_n^{k,m} \mid n, m, k \in \mathbb{N}\}$$

$$\begin{aligned} B_n^m &= \lambda x y_1 \dots y_n z_1 \dots z_m \cdot x(y_1 z_1 \dots z_m) \dots (y_n z_1 \dots z_m) \\ S_n^{k,m} &= \lambda y_1 \dots y_n z_1 \dots z_k u v_1 \dots v_m \cdot u(y_1 z_1 \dots z_k u v_1 \dots v_m) \dots (y_n z_1 \dots z_k u v_1 \dots v_m) \\ E_n^m &= \lambda x y_1 \dots y_n z_1 \dots z_m \cdot x z_1 \dots z_m (y_1 z_1 \dots z_m) \dots (y_n z_1 \dots z_m) \end{aligned}$$

Abdali's algorithm

Abdali's (modified) algorithm A

$$\begin{aligned}H_{\mathbf{A}}(x) &= x \\H_{\mathbf{A}}(t_1 t_2) &= (H_{\mathbf{A}}(t_1))(H_{\mathbf{A}}(t_2)) \\H_{\mathbf{A}}(\lambda x.t) &= [x]_{\mathbf{A}}.t \\[x_1, \dots, x_m]_{\mathbf{A}}.t &= B_0^i([x_i, \dots, x_m]_{\mathbf{A}}.t) \\&\quad \text{if } i > 1, x_i \in \text{FV}(t), x_j \notin \text{FV}(t) \text{ for } j < i \\[x_1, \dots, x_m]_{\mathbf{A}}.u x_m &= [x_1, \dots, x_{m-1}]_{\mathbf{A}}.u \\&\quad \text{if } x_m \notin \text{FV}(u) \\[x_1, \dots, x_m]_{\mathbf{A}}.u x_m t_1 \dots t_n &= E_n^m([x_1, \dots, x_{m-1}]_{\mathbf{A}}.u)([x_1, \dots, x_m]_{\mathbf{A}}.t_1) \dots ([x_1, \dots, x_m]_{\mathbf{A}}.t_n) \\&\quad \text{if } x_m \notin \text{FV}(u) \\[x_1, \dots, x_m]_{\mathbf{A}}.x_i t_1 \dots t_n &= S^{i-1, m-i}([x_1, \dots, x_m]_{\mathbf{A}}.t_1) \dots ([x_1, \dots, x_m]_{\mathbf{A}}.t_n) \\[x_1, \dots, x_m]_{\mathbf{A}}.s t_1 \dots t_n &= B_n^m(H_{\mathbf{A}}(s))([x_1, \dots, x_m]_{\mathbf{A}}.t_1) \dots ([x_1, \dots, x_m]_{\mathbf{A}}.t_n) \\&\quad \text{if } \{x_1, \dots, x_m\} \cap \text{FV}(s) = \emptyset, \{x_1, \dots, x_m\} \cap \text{FV}(t_1) \neq \emptyset \\[x_1, \dots, x_m]_{\mathbf{A}}.\lambda y.s &= [x_1, \dots, x_m, y]_{\mathbf{A}}.s \\[x_1, \dots, x_m]_{\mathbf{A}}.(\lambda y.s) t_1 \dots t_n &= E_n^m([x_1, \dots, x_m, y]_{\mathbf{A}}.s)([x_1, \dots, x_m]_{\mathbf{A}}.t_1) \dots ([x_1, \dots, x_m]_{\mathbf{A}}.t_n)\end{aligned}$$

Abdali + director strings

Algorithm D

$$B = \{D_l, U_l^k \mid k \in \mathbb{N}, l \in L_m, m \in \mathbb{N}\}$$

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$$\begin{aligned} D_{\langle \nu_0, \dots, \nu_n \rangle_m} &= \lambda x y_1 \dots y_n z_1 \dots z_m . x \{z_1\}^{\nu_0(1)} \dots \{z_m\}^{\nu_0(m)} (y_1 \{z_1\}^{\nu_1(1)} \dots \{z_m\}^{\nu_1(m)}) \dots (y_n \{z_1\}^{\nu_n(1)} \dots \{z_m\}^{\nu_n(m)}) \\ U_{\langle \nu_1, \dots, \nu_n \rangle_m}^k &= \lambda y_1 \dots y_n z_1 \dots z_m . z_k (y_1 \{z_1\}^{\nu_1(1)} \dots \{z_m\}^{\nu_1(m)}) \dots (y_n \{z_1\}^{\nu_n(1)} \dots \{z_m\}^{\nu_n(m)}) \end{aligned}$$

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The notation $\{t\}^b$ should be treated as t if $b = 1$, or omitted if $b = 0$.

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The notation $\{t\}^b$ should be treated as t if $b = 1$, or omitted if $b = 0$.

$$D_{\langle 010, 101 \rangle_3} = \lambda x y z_1 z_2 z_3 . x z_2 (y z_1 z_3).$$

Abdali + director strings

Algorithm D

$$\begin{aligned} H_D(x) &= x \\ H_D(t_1 t_2) &= (H_D(t_1))(H_D(t_2)) \\ H_D(\lambda x.t) &= [x]_D.t \\ [x_1, \dots, x_m]_D.t &= D_{\langle 0^m \rangle m}([x_i, \dots, x_m]_D.t) \\ &\quad \text{if } i > 1, x_i \in \text{FV}(t), x_j \notin \text{FV}(t) \text{ for } j < i \\ [x_1, \dots, x_m]_D.u x_m &= [x_1, \dots, x_{m-1}]_D.u \\ &\quad \text{if } x_m \notin \text{FV}(u) \\ [x_1, \dots, x_m]_D.u x_m t_1 \dots t_n &= D_{\langle \nu_0, \nu_1, \dots, \nu_n \rangle m} u' t'_1 \dots t'_n \\ &\quad \text{where } x_m \notin \text{FV}(u), \\ &\quad u' = [\{x_1\}^{\nu_0(1)}, \dots, \{x_{m-1}\}^{\nu_0(m-1)}]_D.u, \\ &\quad t'_i = [\{x_1\}^{\nu_i(1)}, \dots, \{x_m\}^{\nu_i(m)}]_D.t_i \text{ for } i = 1, \dots, n \\ &\quad \nu_0(m) = 1, \nu_0(j) = 1 \text{ iff } x_j \in \text{FV}(u), \text{ for } j = 1, \dots, m-1 \\ &\quad \nu_i(j) = 1 \text{ iff } x_j \in \text{FV}(t_i), \text{ for } i = 1, \dots, n, j = 1, \dots, m, \\ [x_1, \dots, x_m]_D.x_i t_1 \dots t_n &= U_{\langle \nu_1, \dots, \nu_n \rangle m}^i t'_1 \dots t'_n \\ &\quad \text{where } t'_i = [\{x_1\}^{\nu_i(1)}, \dots, \{x_m\}^{\nu_i(m)}]_D.t_i \text{ for } i = 1, \dots, n \\ &\quad \nu_i(j) = 1 \text{ iff } x_j \in \text{FV}(t_i), \text{ for } i = 1, \dots, n, j = 1, \dots, m, \\ [x_1, \dots, x_m]_D.st_1 \dots t_n &= D_{\langle 0^m, \nu_1, \dots, \nu_n \rangle m} (H_D(s)) t'_1 \dots t'_m \\ &\quad \text{where } \{x_1, \dots, x_m\} \cap \text{FV}(s) = \emptyset, \\ &\quad \{x_1, \dots, x_m\} \cap \text{FV}(t_1) \neq \emptyset, \\ &\quad t'_i = [\{x_1\}^{\nu_i(1)}, \dots, \{x_m\}^{\nu_i(m)}]_D.t_i \text{ for } i = 1, \dots, n \\ &\quad \nu_i(j) = 1 \text{ iff } x_j \in \text{FV}(t_i), \text{ for } i = 1, \dots, n, j = 1, \dots, m, \\ [x_1, \dots, x_m]_D.\lambda y.s &= [x_1, \dots, x_m, y]_D.s \\ [x_1, \dots, x_m]_D.(\lambda y.s) t_1 \dots t_n &= D_{\langle \nu_0, \dots, \nu_n \rangle m} s' t'_1 \dots t'_n \\ &\quad \text{where } s' = ([\{x_1\}^{\nu_0(1)}, \dots, \{x_m\}^{\nu_0(m)}, y]_D.s) \\ &\quad t'_i = [\{x_1\}^{\nu_i(1)}, \dots, \{x_m\}^{\nu_i(m)}]_D.t_i \text{ for } i = 1, \dots, n \\ &\quad \nu_0(j) = 1 \text{ iff } x_j \in \text{FV}(\lambda y.s), \text{ for } j = 1, \dots, m \\ &\quad \nu_i(j) = 1 \text{ iff } x_j \in \text{FV}(t_i), \text{ for } i = 1, \dots, n, j = 1, \dots, m \end{aligned}$$

Implementation

Preprocessing

- ▶ Every occurrence of $\lambda x.tx$ where $x \notin \text{FV}(t)$ is replaced by t .

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- ▶ Every occurrence of $\lambda x.tx$ where $x \notin \text{FV}(t)$ is replaced by t .
- ▶ Any equation between a constant and a lambda-expression is translated directly to another equation. For example, the formula $h = \lambda x.fx(gx)$ is translated to $\forall x.hx = fx(gx)$.
- ▶ All terms are reduced to β -normal form.

Experimental results

Algorithm	Solved%	Solved
Abdali + director strings D	28.738	1903
Abdali A	28.511	1888
Turner T	27.167	1799
Schönfinkel S	25.899	1715
Lambda-lifting $L2$	23.694	1569
Lambda-lifting $L1$	10.556	699
any	35.246	2334

Total 6622 problems. Only problems with non-trivial lambda-abstractions (i.e. those that cannot be eliminated by the preprocessing) in the goal or one of the dependencies.

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Cumulative results for provers: CVC version 4, E version 1.8, Vampire version 3.0, Z3 version 4.0 and SPASS version 3.5.