

# Towards Machine Learning for SMT

---

**Mikoláš Janota**

MLR, 30 April 2020

Intro: QBF, Expansion, Games, Careful expansion

Solving QBF

Learning in QBF

Towards SMT

## **Intro: QBF, Expansion, Games, Careful expansion**

---

- **SAT** — for a Boolean formula, determine if it is **satisfiable**

# SAT and QBF

- **SAT** — for a Boolean formula, determine if it is **satisfiable**
- **Example:**  $\{x = 1, y = 0\} \models (x \vee y) \wedge (x \vee \neg y)$

# SAT and QBF

- **SAT** — for a Boolean formula, determine if it is **satisfiable**
- **Example:**  $\{x = 1, y = 0\} \models (x \vee y) \wedge (x \vee \neg y)$
- **QBF** — for a *Quantified* Boolean formula

# SAT and QBF

- **SAT** — for a Boolean formula, determine if it is **satisfiable**
- **Example:**  $\{x = 1, y = 0\} \models (x \vee y) \wedge (x \vee \neg y)$
- **QBF** — for a *Quantified* Boolean formula
- **Example:**  $\forall x \exists y. (x \leftrightarrow y)$

# SAT and QBF

- **SAT** — for a Boolean formula, determine if it is **satisfiable**
- **Example:**  $\{x = 1, y = 0\} \models (x \vee y) \wedge (x \vee \neg y)$
- **QBF** — for a *Quantified* Boolean formula
- **Example:**  $\forall x \exists y. (x \leftrightarrow y)$
- Quantifications as shorthands for connectives  
( $\forall = \wedge, \exists = \vee$ )

**Example:**



# SAT and QBF

- **SAT** — for a Boolean formula, determine if it is **satisfiable**
- **Example:**  $\{x = 1, y = 0\} \models (x \vee y) \wedge (x \vee \neg y)$
- **QBF** — for a *Quantified* Boolean formula
- **Example:**  $\forall x \exists y. (x \leftrightarrow y)$
- Quantifications as shorthands for connectives  
( $\forall = \wedge, \exists = \vee$ )

**Example:**

(1)  $\forall x \exists y. (x \leftrightarrow y)$

- **SAT** — for a Boolean formula, determine if it is **satisfiable**
- **Example:**  $\{x = 1, y = 0\} \models (x \vee y) \wedge (x \vee \neg y)$
- **QBF** — for a *Quantified* Boolean formula
- **Example:**  $\forall x \exists y. (x \leftrightarrow y)$
- Quantifications as shorthands for connectives  
( $\forall = \wedge, \exists = \vee$ )

**Example:**

- (1)  $\forall x \exists y. (x \leftrightarrow y)$
- (2)  $\forall x. (x \leftrightarrow 0) \vee (x \leftrightarrow 1)$

- **SAT** — for a Boolean formula, determine if it is **satisfiable**
- **Example:**  $\{x = 1, y = 0\} \models (x \vee y) \wedge (x \vee \neg y)$
- **QBF** — for a *Quantified* Boolean formula
- **Example:**  $\forall x \exists y. (x \leftrightarrow y)$
- Quantifications as shorthands for connectives  
( $\forall = \wedge, \exists = \vee$ )

**Example:**

- (1)  $\forall x \exists y. (x \leftrightarrow y)$
- (2)  $\forall x. (x \leftrightarrow 0) \vee (x \leftrightarrow 1)$
- (3)  $((0 \leftrightarrow 0) \vee (0 \leftrightarrow 1)) \wedge ((1 \leftrightarrow 0) \vee (1 \leftrightarrow 1))$

- **SAT** — for a Boolean formula, determine if it is **satisfiable**
- **Example:**  $\{x = 1, y = 0\} \models (x \vee y) \wedge (x \vee \neg y)$
- **QBF** — for a *Quantified* Boolean formula
- **Example:**  $\forall x \exists y. (x \leftrightarrow y)$
- Quantifications as shorthands for connectives  
( $\forall = \wedge, \exists = \vee$ )

**Example:**

- (1)  $\forall x \exists y. (x \leftrightarrow y)$
- (2)  $\forall x. (x \leftrightarrow 0) \vee (x \leftrightarrow 1)$
- (3)  $((0 \leftrightarrow 0) \vee (0 \leftrightarrow 1)) \wedge ((1 \leftrightarrow 0) \vee (1 \leftrightarrow 1))$
- (4) **1** (True)

# SMT Satisfiability Modulo Theories

- reasoning in first order logic

# SMT Satisfiability Modulo Theories

- reasoning in first order logic
- under a given theory, e.g. *linear arithmetic without quantifiers*

# SMT Satisfiability Modulo Theories

- reasoning in first order logic
- under a given theory, e.g. *linear arithmetic without quantifiers*
- focus: software verification, debugging, ...

# SMT Satisfiability Modulo Theories

- reasoning in first order logic
- under a given theory, e.g. *linear arithmetic without quantifiers*
- focus: software verification, debugging, ...
- formulas may be huge, solvers are **not** based on saturation but on SAT technology



# How is SMT Used in SW Verification



Program

# How is SMT Used in SW Verification

Verification  
Condition  
Generation

Program



Formula

“Is there a bug?”

# How is SMT Used in SW Verification

Verification  
Condition  
Generation

Program

Formula

SMT Solver

“Is there a bug?”

# How is SMT Used in SW Verification

Verification  
Condition  
Generation

Program

Formula

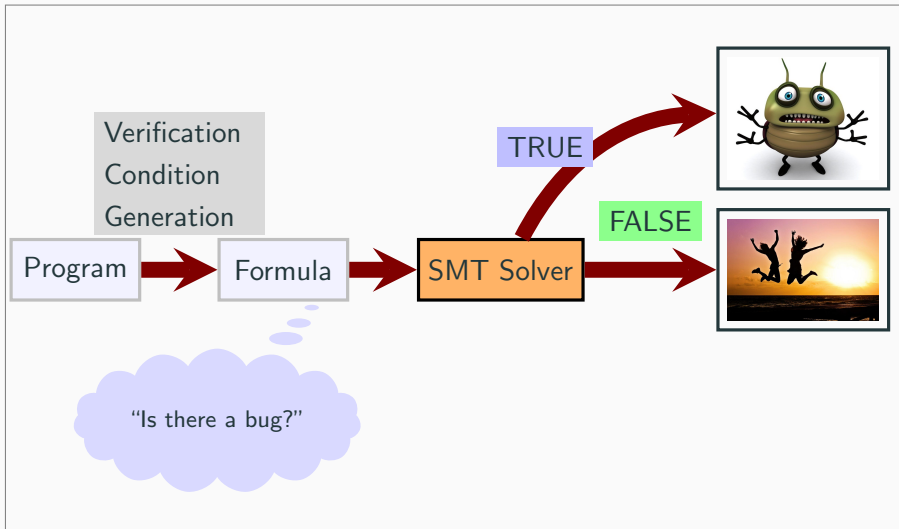
SMT Solver

FALSE

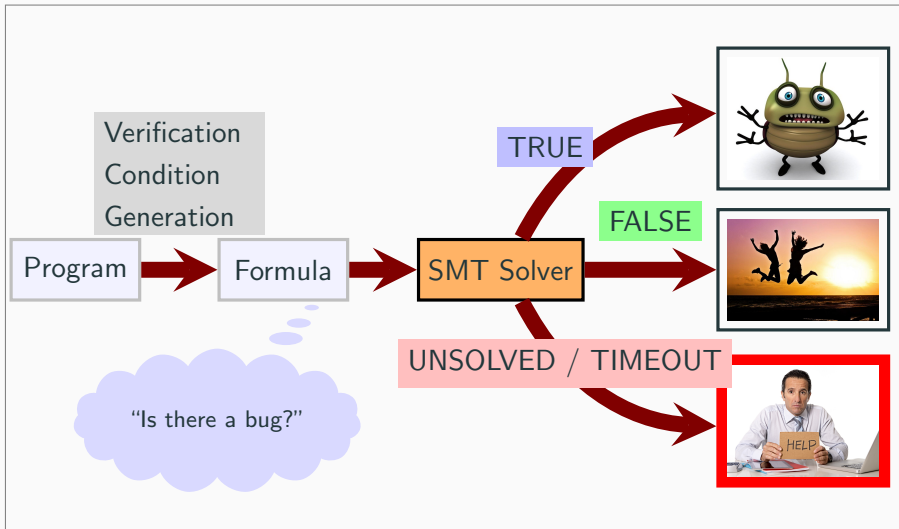


“Is there a bug?”

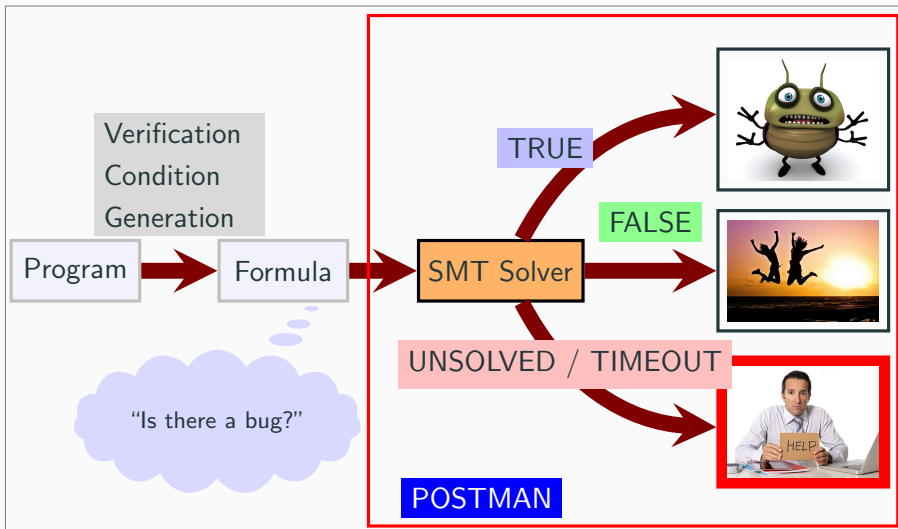
# How is SMT Used in SW Verification



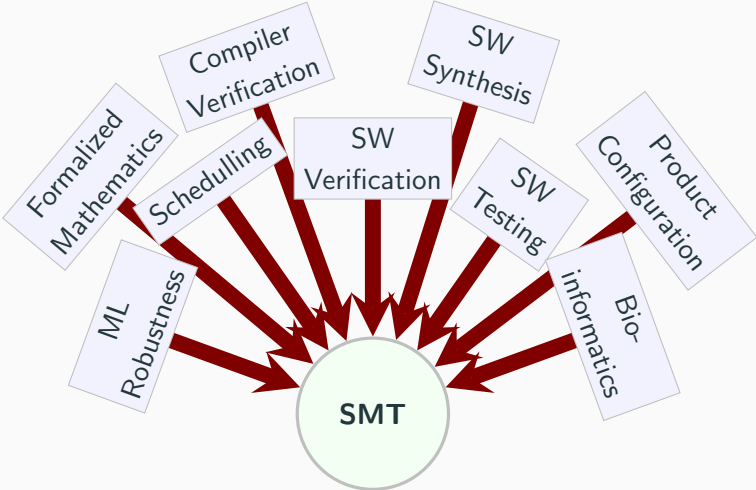
# How is SMT Used in SW Verification



# How is SMT Used in SW Verification



# SMT Impact





Advertisement:

Hiring postdocs and PhD students to work on SMT + ML.

# QBF is a strict subset of Bernays-Schönfinkel (EPR)

- Consider the QBF:

$$\forall u \exists e. u \leftrightarrow e$$

# QBF is a strict subset of Bernays-Schönfinkel (EPR)

- Consider the QBF:

$$\forall u \exists e. u \leftrightarrow e$$

1. Introduce a predicate for truth,

# QBF is a strict subset of Bernays-Schönfinkel (EPR)

- Consider the QBF:

$$\forall u \exists e. u \leftrightarrow e$$

1. Introduce a predicate for truth,
2. each existential variable replace by a predicate,

# QBF is a strict subset of Bernays-Schönfinkel (EPR)

- Consider the QBF:

$$\forall u \exists e. u \leftrightarrow e$$

1. Introduce a predicate for truth,
2. each existential variable replace by a predicate,
3. universal variables wrapped by the truth predicate:

$$\text{is-true}(t) \wedge \neg \text{is-true}(f) \wedge \\ (\forall X_u. \text{is-true}(X_u) \leftrightarrow p_e(X_u))$$

# QBF is a strict subset of Bernays-Schönfinkel (EPR)

- Consider the QBF:

$$\forall u \exists e. u \leftrightarrow e$$

1. Introduce a predicate for truth,
2. each existential variable replace by a predicate,
3. universal variables wrapped by the truth predicate:

$$\text{is-true}(t) \wedge \neg \text{is-true}(f) \wedge \\ (\forall X_u. \text{is-true}(X_u) \leftrightarrow p_e(X_u))$$

- Alternatively, use equality:

$$t \neq f \wedge (\forall X_u. (X_u = t) \leftrightarrow p_e(X_u))$$

# Quantification and Two-player Games

- In this talk we consider **prenex form**: *Quantifier-prefix. Matrix*

# Quantification and Two-player Games

- In this talk we consider **prenex form**: *Quantifier-prefix. Matrix*

**Example**  $\forall u_1 u_2 \exists e_1 e_2. (\neg u_1 \vee e_1) \wedge (u_2 \vee \neg e_2)$



# Quantification and Two-player Games

- In this talk we consider **prenex form**: *Quantifier-prefix. Matrix*

**Example**  $\forall u_1 u_2 \exists e_1 e_2. (\neg u_1 \vee e_1) \wedge (u_2 \vee \neg e_2)$

- A QBF represents a two-player game between  $\forall$  and  $\exists$ .

# Quantification and Two-player Games

- In this talk we consider **prenex form**: *Quantifier-prefix. Matrix*

**Example**  $\forall u_1 u_2 \exists e_1 e_2. (\neg u_1 \vee e_1) \wedge (u_2 \vee \neg e_2)$

- A QBF represents a two-player game between  $\forall$  and  $\exists$ .
- $\forall$  wins a game if the matrix becomes false.

# Quantification and Two-player Games

- In this talk we consider **prenex form**: *Quantifier-prefix. Matrix*

**Example**  $\forall u_1 u_2 \exists e_1 e_2. (\neg u_1 \vee e_1) \wedge (u_2 \vee \neg e_2)$

- A QBF represents a two-player game between  $\forall$  and  $\exists$ .
- $\forall$  wins a game if the matrix becomes false.
- $\exists$  wins a game if the matrix becomes true.

# Quantification and Two-player Games

- In this talk we consider **prenex form**: *Quantifier-prefix. Matrix*

**Example**  $\forall u_1 u_2 \exists e_1 e_2. (\neg u_1 \vee e_1) \wedge (u_2 \vee \neg e_2)$

- A QBF represents a two-player game between  $\forall$  and  $\exists$ .
- $\forall$  wins a game if the matrix becomes false.
- $\exists$  wins a game if the matrix becomes true.
- A QBF is false iff there exists a **winning strategy** for  $\forall$ .

# Quantification and Two-player Games

- In this talk we consider **prenex form**: *Quantifier-prefix. Matrix*

**Example**  $\forall u_1 u_2 \exists e_1 e_2. (\neg u_1 \vee e_1) \wedge (u_2 \vee \neg e_2)$

- A QBF represents a two-player game between  $\forall$  and  $\exists$ .
- $\forall$  wins a game if the matrix becomes false.
- $\exists$  wins a game if the matrix becomes true.
- A QBF is false iff there exists a **winning strategy** for  $\forall$ .
- A QBF is true iff there exists a **winning strategy** for  $\exists$ .

# Quantification and Two-player Games

- In this talk we consider **prenex form**: *Quantifier-prefix. Matrix*

**Example**  $\forall u_1 u_2 \exists e_1 e_2. (\neg u_1 \vee e_1) \wedge (u_2 \vee \neg e_2)$

- A QBF represents a two-player game between  $\forall$  and  $\exists$ .
- $\forall$  wins a game if the matrix becomes false.
- $\exists$  wins a game if the matrix becomes true.
- A QBF is false iff there exists a **winning strategy** for  $\forall$ .
- A QBF is true iff there exists a **winning strategy** for  $\exists$ .

**Example**

$$\forall u \exists e. (u \leftrightarrow e)$$

$\exists$ -player wins by playing  $e \triangleq u$ .

## Solving QBF

---

## Solving by CEGAR Expansion

$$\exists \mathcal{E} \forall \mathcal{U}. \phi \equiv \exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu]$$



## Solving by CEGAR Expansion

$$\exists \mathcal{E} \forall \mathcal{U}. \phi \equiv \exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu]$$

Can be solved by SAT  $(\bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu])$ . **Impractical!**

## Solving by CEGAR Expansion

$$\exists \mathcal{E} \forall \mathcal{U}. \phi \equiv \exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu]$$

Can be solved by SAT  $(\bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu])$ . **Impractical!**

Observe:

$$\exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu] \Rightarrow \exists \mathcal{E}. \bigwedge_{\mu \in \omega} \phi[\mu]$$

for some  $\omega \subseteq 2^{\mathcal{U}}$

What is a good  $\omega$ ?

## Solving by CEGAR Expansion Contd.

$$\exists \mathcal{E} \forall \mathcal{U}. \phi \equiv \exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu]$$

Expand **gradually** instead: [J. and Marques-Silva, 2011]

- Pick  $\tau_0$  arbitrary assignment to  $\mathcal{E}$

## Solving by CEGAR Expansion Contd.

$$\exists \mathcal{E} \forall \mathcal{U}. \phi \equiv \exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu]$$

Expand **gradually** instead: [J. and Marques-Silva, 2011]

- Pick  $\tau_0$  arbitrary assignment to  $\mathcal{E}$
- $\text{SAT}(\neg\phi[\tau_0]) = \mu_0$  assignment to  $\mathcal{U}$

## Solving by CEGAR Expansion Contd.

$$\exists \mathcal{E} \forall \mathcal{U}. \phi \equiv \exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu]$$

Expand **gradually** instead: [J. and Marques-Silva, 2011]

- Pick  $\tau_0$  arbitrary assignment to  $\mathcal{E}$
- $\text{SAT}(\neg\phi[\tau_0]) = \mu_0$  assignment to  $\mathcal{U}$
- $\text{SAT}(\phi[\mu_0]) = \tau_1$  assignment to  $\mathcal{E}$

## Solving by CEGAR Expansion Contd.

$$\exists \mathcal{E} \forall \mathcal{U}. \phi \equiv \exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu]$$

Expand **gradually** instead: [J. and Marques-Silva, 2011]

- Pick  $\tau_0$  arbitrary assignment to  $\mathcal{E}$
- $\text{SAT}(\neg\phi[\tau_0]) = \mu_0$  assignment to  $\mathcal{U}$
- $\text{SAT}(\phi[\mu_0]) = \tau_1$  assignment to  $\mathcal{E}$
- $\text{SAT}(\neg\phi[\tau_1]) = \mu_2$  assignment to  $\mathcal{U}$

## Solving by CEGAR Expansion Contd.

$$\exists \mathcal{E} \forall \mathcal{U}. \phi \equiv \exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu]$$

Expand **gradually** instead: [J. and Marques-Silva, 2011]

- Pick  $\tau_0$  arbitrary assignment to  $\mathcal{E}$
- $\text{SAT}(\neg\phi[\tau_0]) = \mu_0$  assignment to  $\mathcal{U}$
- $\text{SAT}(\phi[\mu_0]) = \tau_1$  assignment to  $\mathcal{E}$
- $\text{SAT}(\neg\phi[\tau_1]) = \mu_2$  assignment to  $\mathcal{U}$
- $\text{SAT}(\phi[\mu_0] \wedge \phi[\mu_1]) = \tau_2$  assignment to  $\mathcal{E}$

## Solving by CEGAR Expansion Contd.

$$\exists \mathcal{E} \forall \mathcal{U}. \phi \equiv \exists \mathcal{E}. \bigwedge_{\mu \in 2^{\mathcal{U}}} \phi[\mu]$$

Expand **gradually** instead: [J. and Marques-Silva, 2011]

- Pick  $\tau_0$  arbitrary assignment to  $\mathcal{E}$
- $\text{SAT}(\neg\phi[\tau_0]) = \mu_0$  assignment to  $\mathcal{U}$
- $\text{SAT}(\phi[\mu_0]) = \tau_1$  assignment to  $\mathcal{E}$
- $\text{SAT}(\neg\phi[\tau_1]) = \mu_2$  assignment to  $\mathcal{U}$
- $\text{SAT}(\phi[\mu_0] \wedge \phi[\mu_1]) = \tau_2$  assignment to  $\mathcal{E}$
- After  $n$  iterations

$$\exists \mathcal{E}. \bigwedge_{i \in 1..n} \phi[\tau_i]$$

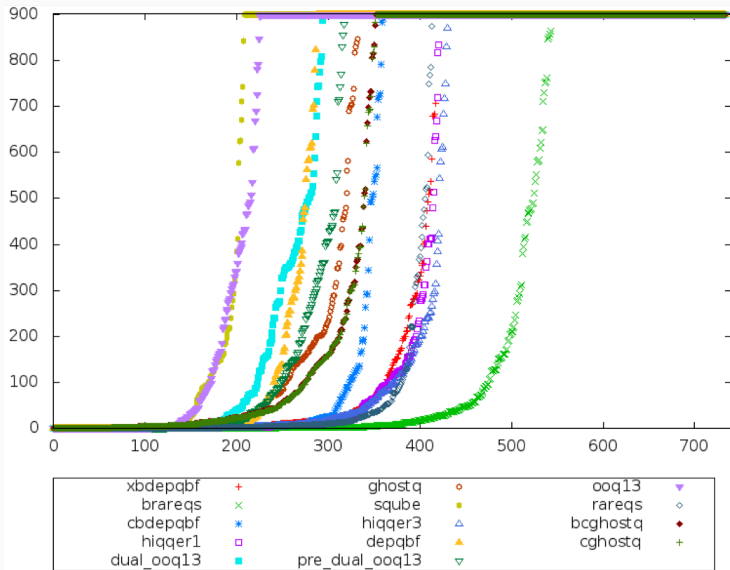


# Abstraction-Based Algorithm for a Winning Move

Algorithm for  $\exists\forall$ . Generalize to arbitrary number of alternations using recursion. [J. et al., 2012].

```
1 Function Solve( $\exists X\forall Y. \phi$ )
2  $\alpha \leftarrow \text{true}$            // start with an empty abstraction
3 while true do
4    $\tau \leftarrow \text{SAT}(\alpha)$            // find a candidate
5   if  $\tau = \perp$  then return  $\perp$ 
6    $\mu \leftarrow \text{Solve}(\neg\phi[X \leftarrow \tau])$  // find a countermove
7   if  $\mu = \perp$  then return  $\tau$ 
8    $\alpha \leftarrow \alpha \wedge \phi[Y \leftarrow \mu]$  // refine abstraction
```

# Results, QBF-Gallery '14, Application Track



## Careful Expansion: Good Example

$$\exists x \dots \forall y \dots \phi \wedge y$$

Setting countermove  $y \leftarrow 0$  yields false. **Stop.**

## Careful Expansion: Good Example

$$\exists x \dots \forall y \dots \phi \wedge y$$

Setting countermove  $y \leftarrow 0$  yields false. **Stop.**

$$\exists x \dots \forall y \dots x \vee \phi$$

Setting candidate  $x \leftarrow 1$  yields true (impossible to falsify). **Stop.**

## Careful Expansion: Bad Example

$$\exists x \forall y. x \Leftrightarrow y$$

1.  $x \leftarrow 1$

candidate

## Careful Expansion: Bad Example

$$\exists x \forall y. x \Leftrightarrow y$$

1.  $x \leftarrow 1$

candidate

2.  $\text{SAT}(\neg(1 \Leftrightarrow y)) \dots y \leftarrow 0$

countermove

## Careful Expansion: Bad Example

$$\exists x \forall y. x \Leftrightarrow y$$

1.  $x \leftarrow 1$

candidate

2.  $\text{SAT}(\neg(1 \Leftrightarrow y)) \dots y \leftarrow 0$

countermove

3.  $\text{SAT}(x \Leftrightarrow 0) \dots x \leftarrow 0$

candidate

## Careful Expansion: Bad Example

$$\exists x \forall y. x \Leftrightarrow y$$

1.  $x \leftarrow 1$

candidate

2.  $\text{SAT}(\neg(1 \Leftrightarrow y)) \dots y \leftarrow 0$

countermove

3.  $\text{SAT}(x \Leftrightarrow 0) \dots x \leftarrow 0$

candidate

4.  $\text{SAT}(\neg(0 \Leftrightarrow y)) \dots y \leftarrow 1$

countermove



# Careful Expansion: Bad Example

$$\exists x \forall y. x \Leftrightarrow y$$

1.  $x \leftarrow 1$

candidate

2.  $\text{SAT}(\neg(1 \Leftrightarrow y)) \dots y \leftarrow 0$

countermove

3.  $\text{SAT}(x \Leftrightarrow 0) \dots x \leftarrow 0$

candidate

4.  $\text{SAT}(\neg(0 \Leftrightarrow y)) \dots y \leftarrow 1$

countermove

5.  $\text{SAT}(x \Leftrightarrow 0 \wedge x \Leftrightarrow 1) \dots$  UNSAT

Stop

## Careful Expansion: Ugly Example

$$\exists x_1 x_2 \forall y_1 y_2. x_1 \Leftrightarrow y_1 \vee x_2 \Leftrightarrow y_2$$

1.  $x_1, x_2 \leftarrow 0, 0$

## Careful Expansion: Ugly Example

$$\exists x_1 x_2 \forall y_1 y_2. x_1 \Leftrightarrow y_1 \vee x_2 \Leftrightarrow y_2$$

1.  $x_1, x_2 \leftarrow 0, 0$
2.  $\text{SAT}(\neg(0 \Leftrightarrow y_1 \vee \neg 0 \Leftrightarrow y_2)) \dots y_1 \leftarrow 1, y_2 \leftarrow 1$

## Careful Expansion: Ugly Example

$$\exists x_1 x_2 \forall y_1 y_2. x_1 \Leftrightarrow y_1 \vee x_2 \Leftrightarrow y_2$$

1.  $x_1, x_2 \leftarrow 0, 0$
2.  $\text{SAT}(\neg(0 \Leftrightarrow y_1 \vee \neg 0 \Leftrightarrow y_2)) \dots y_1 \leftarrow 1, y_2 \leftarrow 1$
3.  $\text{SAT}(x_1 \Leftrightarrow 1 \vee x_2 \Leftrightarrow 1) \dots x_1, x_2 \leftarrow 0, 1$

## Careful Expansion: Ugly Example

$$\exists x_1 x_2 \forall y_1 y_2. x_1 \Leftrightarrow y_1 \vee x_2 \Leftrightarrow y_2$$

1.  $x_1, x_2 \leftarrow 0, 0$
2.  $\text{SAT}(\neg(0 \Leftrightarrow y_1 \vee \neg 0 \Leftrightarrow y_2)) \dots y_1 \leftarrow 1, y_2 \leftarrow 1$
3.  $\text{SAT}(x_1 \Leftrightarrow 1 \vee x_2 \Leftrightarrow 1) \dots x_1, x_2 \leftarrow 0, 1$
4.  $\text{SAT}(\neg(0 \Leftrightarrow y_1 \vee 1 \Leftrightarrow y_2)) \dots y_1 \leftarrow 1, y_2 \leftarrow 0$

## Careful Expansion: Ugly Example

$$\exists x_1 x_2 \forall y_1 y_2. x_1 \Leftrightarrow y_1 \vee x_2 \Leftrightarrow y_2$$

1.  $x_1, x_2 \leftarrow 0, 0$
2.  $\text{SAT}(\neg(0 \Leftrightarrow y_1 \vee \neg 0 \Leftrightarrow y_2)) \dots y_1 \leftarrow 1, y_2 \leftarrow 1$
3.  $\text{SAT}(x_1 \Leftrightarrow 1 \vee x_2 \Leftrightarrow 1) \dots x_1, x_2 \leftarrow 0, 1$
4.  $\text{SAT}(\neg(0 \Leftrightarrow y_1 \vee 1 \Leftrightarrow y_2)) \dots y_1 \leftarrow 1, y_2 \leftarrow 0$
5.  $\text{SAT}((x_1 \Leftrightarrow 1 \vee x_2 \Leftrightarrow 1) \wedge (x_1 \Leftrightarrow 1 \vee x_2 \Leftrightarrow 0)) \dots$

## Careful Expansion: Ugly Example

$$\exists x_1 x_2 \forall y_1 y_2. x_1 \Leftrightarrow y_1 \vee x_2 \Leftrightarrow y_2$$

1.  $x_1, x_2 \leftarrow 0, 0$
2.  $\text{SAT}(\neg(0 \Leftrightarrow y_1 \vee \neg 0 \Leftrightarrow y_2)) \dots y_1 \leftarrow 1, y_2 \leftarrow 1$
3.  $\text{SAT}(x_1 \Leftrightarrow 1 \vee x_2 \Leftrightarrow 1) \dots x_1, x_2 \leftarrow 0, 1$
4.  $\text{SAT}(\neg(0 \Leftrightarrow y_1 \vee 1 \Leftrightarrow y_2)) \dots y_1 \leftarrow 1, y_2 \leftarrow 0$
5.  $\text{SAT}((x_1 \Leftrightarrow 1 \vee x_2 \Leftrightarrow 1) \wedge (x_1 \Leftrightarrow 1 \vee x_2 \Leftrightarrow 0)) \dots$
6.  $\dots$

## Learning in QBF

---



- CEGAR requires  $2^n$  SAT calls for the formula

$$\exists x_1 \dots x_n \forall y_1 \dots y_n. \bigvee_{i \in 1..n} x_i \Leftrightarrow y_i$$

- CEGAR requires  $2^n$  SAT calls for the formula

$$\exists x_1 \dots x_n \forall y_1 \dots y_n. \bigvee_{i \in 1..n} x_i \Leftrightarrow y_i$$

- **BUT:** We know that the formula is immediately false if we set  $y_i \leftarrow \neg x_i$ .

$$\left( \exists x_1 \dots x_n \forall y_1 \dots y_n. \bigvee_{i \in 1..n} x_i \Leftrightarrow \neg x_i \right) \equiv \left( \exists x_1 \dots x_n. 0 \right)$$

- CEGAR requires  $2^n$  SAT calls for the formula

$$\exists x_1 \dots x_n \forall y_1 \dots y_n. \bigvee_{i \in 1..n} x_i \Leftrightarrow y_i$$

- **BUT:** We know that the formula is immediately false if we set  $y_i \leftarrow \neg x_i$ .

$$\left( \exists x_1 \dots x_n \forall y_1 \dots y_n. \bigvee_{i \in 1..n} x_i \Leftrightarrow \neg x_i \right) \equiv \left( \exists x_1 \dots x_n. 0 \right)$$

- **Idea:** instead of plugging in constants, plug in functions.

- CEGAR requires  $2^n$  SAT calls for the formula

$$\exists x_1 \dots x_n \forall y_1 \dots y_n. \bigvee_{i \in 1..n} x_i \Leftrightarrow y_i$$

- **BUT:** We know that the formula is immediately false if we set  $y_i \leftarrow \neg x_i$ .

$$\left( \exists x_1 \dots x_n \forall y_1 \dots y_n. \bigvee_{i \in 1..n} x_i \Leftrightarrow \neg x_i \right) \equiv \left( \exists x_1 \dots x_n. 0 \right)$$

- **Idea:** instead of plugging in constants, plug in functions.
- **Where do we get the functions?**

[J., 2018]

1. Enumerate some number of candidate–countermove pairs.

[J., 2018]

1. Enumerate some number of candidate–countermove pairs.
2. Run a machine learning algorithm to learn a Boolean function for each variable in the inner quantifier.

[J., 2018]

1. Enumerate some number of candidate–countermove pairs.
2. Run a machine learning algorithm to learn a Boolean function for each variable in the inner quantifier.
3. Strengthen abstraction with the functions.

[J., 2018]

1. Enumerate some number of candidate–countermove pairs.
2. Run a machine learning algorithm to learn a Boolean function for each variable in the inner quantifier.
3. Strengthen abstraction with the functions.
4. Repeat.



# Machine Learning Example

$x_1$	$x_2$	...	$x_n$	$y_1$	$y_2$	...	$y_n$
0	0	...	0	1	1	...	1
1	0	...	0	0	1	...	1
0	0	...	1	1	1	...	0
0	1	...	1	1	0	...	0

# Machine Learning Example

$x_1$	$x_2$	...	$x_n$	$y_1$	$y_2$	...	$y_n$
0	0	...	0	1	1	...	1
1	0	...	0	0	1	...	1
0	0	...	1	1	1	...	0
0	1	...	1	1	0	...	0

- After 2 steps:  $y_1 \leftarrow \neg x_1$ ,  $y_i \leftarrow 1$  for  $i \in 2..n$ .

# Machine Learning Example

$x_1$	$x_2$	...	$x_n$	$y_1$	$y_2$	...	$y_n$
0	0	...	0	1	1	...	1
1	0	...	0	0	1	...	1
0	0	...	1	1	1	...	0
0	1	...	1	1	0	...	0

- After 2 steps:  $y_1 \leftarrow \neg x_1$ ,  $y_i \leftarrow 1$  for  $i \in 2..n$ .
- $SAT(x_1 \Leftrightarrow \neg x_1 \vee \bigvee_{i \in 2..n} x_2 \Leftrightarrow 1)$

# Machine Learning Example

$x_1$	$x_2$	...	$x_n$	$y_1$	$y_2$	...	$y_n$
0	0	...	0	1	1	...	1
1	0	...	0	0	1	...	1
0	0	...	1	1	1	...	0
0	1	...	1	1	0	...	0

- After 2 steps:  $y_1 \leftarrow \neg x_1$ ,  $y_i \leftarrow 1$  for  $i \in 2..n$ .
- $SAT(x_1 \Leftrightarrow \neg x_1 \vee \bigvee_{i \in 2..n} x_2 \Leftrightarrow 1)$
- After 4 steps:  $y_1 \leftarrow \neg x_1$   $y_2 \leftarrow \neg x_2$  ...

# Machine Learning Example

$x_1$	$x_2$	...	$x_n$	$y_1$	$y_2$	...	$y_n$
0	0	...	0	1	1	...	1
1	0	...	0	0	1	...	1
0	0	...	1	1	1	...	0
0	1	...	1	1	0	...	0

- After 2 steps:  $y_1 \leftarrow \neg x_1$ ,  $y_i \leftarrow 1$  for  $i \in 2..n$ .
- $SAT(x_1 \Leftrightarrow \neg x_1 \vee \bigvee_{i \in 2..n} x_2 \Leftrightarrow 1)$
- After 4 steps:  $y_1 \leftarrow \neg x_1$   $y_2 \leftarrow \neg x_2$  ...
- Eventually we learn the right functions.

# Current Implementation

- Use CEGAR as before.

## Current Implementation

- Use CEGAR as before.
- Recursion to generalize to multiple levels as before.

## Current Implementation

- Use CEGAR as before.
- Recursion to generalize to multiple levels as before.
- Refinement as before.



## Current Implementation

- Use CEGAR as before.
- Recursion to generalize to multiple levels as before.
- Refinement as before.
- Every  $K$  refinements, learn new functions from last  $K$  samples. Refine with them.

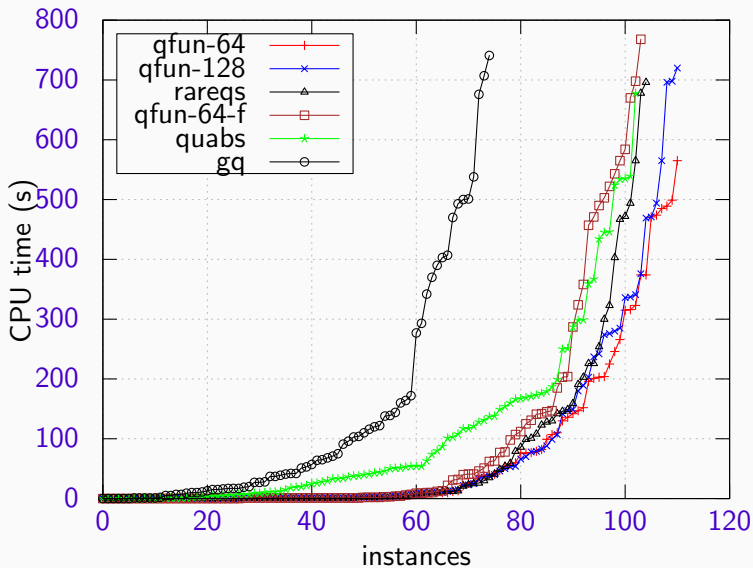
# Current Implementation

- Use CEGAR as before.
- Recursion to generalize to multiple levels as before.
- Refinement as before.
- Every  $K$  refinements, learn new functions from last  $K$  samples. Refine with them.
- Learning using **decision trees** by ID3 algorithm.

## Current Implementation

- Use CEGAR as before.
- Recursion to generalize to multiple levels as before.
- Refinement as before.
- Every  $K$  refinements, learn new functions from last  $K$  samples. Refine with them.
- Learning using **decision trees** by ID3 algorithm.
- Additional heuristic: If a learned function still works, keep it.  
“Don't fix what ain't broke.”

# Current Implementation: Experiments



## Towards SMT

---

- in SMT we always have **equality**
- almost always need **uninterpreted functions**
- Challenge: learning uninterpreted functions
- looking at finite models

$$(\forall x)(\text{range}(x) \rightarrow \text{memory}(x) = c)$$

# Bernays-Schönfinkel (EPR)

$$\forall X. \phi$$

- $\phi$  has no further quantifiers and no functions (just predicates and constants)

# Bernays-Schönfinkel (EPR)

$$\forall X. \phi$$

- $\phi$  has no further quantifiers and no functions (just predicates and constants)
- $\phi$  uses predicates  $p_1, \dots, p_m$  and constants  $c_1, \dots, c_n$ .



$$\forall X. \phi$$

- $\phi$  has no further quantifiers and no functions (just predicates and constants)
- $\phi$  uses predicates  $p_1, \dots, p_m$  and constants  $c_1, \dots, c_n$ .
- **Finite model property:** formulas has a model iff it has a model of size  $\leq n$ .

$$\forall X. \phi$$

- $\phi$  has no further quantifiers and no functions (just predicates and constants)
- $\phi$  uses predicates  $p_1, \dots, p_m$  and constants  $c_1, \dots, c_n$ .
- **Finite model property:** formulas has a model iff it has a model of size  $\leq n$ .
- Therefore we can look for a model with the universe  $*_1, \dots, *_n, n' \leq n$ .

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \forall X. \phi$$

$p_i$  predicates,  $c_i$  constants,  $X$  variables

1.  $\alpha \leftarrow \text{true}$

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \forall X. \phi$$

$p_i$  predicates,  $c_i$  constants,  $X$  variables

1.  $\alpha \leftarrow \text{true}$
2. Find interpretation for  $\alpha$ :  $\mathcal{I} \leftarrow \text{SAT}(\alpha)$

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \forall X. \phi$$

$p_i$  predicates,  $c_i$  constants,  $X$  variables

1.  $\alpha \leftarrow \text{true}$
2. Find interpretation for  $\alpha$ :  $\mathcal{I} \leftarrow \text{SAT}(\alpha)$
3. If no interpretation, formula is *false*. **STOP**.

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \forall X. \phi$$

$p_i$  predicates,  $c_i$  constants,  $X$  variables

1.  $\alpha \leftarrow \text{true}$
2. Find interpretation for  $\alpha$ :  $\mathcal{I} \leftarrow \text{SAT}(\alpha)$
3. If no interpretation, formula is *false*. **STOP**.
4. Test interpretation:  $\mu \leftarrow \text{SAT}(\exists X. \neg\phi[\mathcal{I}])$

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \forall X. \phi$$

$p_i$  predicates,  $c_i$  constants,  $X$  variables

1.  $\alpha \leftarrow \text{true}$
2. Find interpretation for  $\alpha$ :  $\mathcal{I} \leftarrow \text{SAT}(\alpha)$
3. If no interpretation, formula is *false*. **STOP**.
4. Test interpretation:  $\mu \leftarrow \text{SAT}(\exists X. \neg\phi[\mathcal{I}])$
5. If no counterexample, formula is *true*. **STOP**.

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \forall X. \phi$$

$p_i$  predicates,  $c_i$  constants,  $X$  variables

1.  $\alpha \leftarrow \text{true}$
2. Find interpretation for  $\alpha$ :  $\mathcal{I} \leftarrow \text{SAT}(\alpha)$
3. If no interpretation, formula is *false*. **STOP**.
4. Test interpretation:  $\mu \leftarrow \text{SAT}(\exists X. \neg\phi[\mathcal{I}])$
5. If no counterexample, formula is *true*. **STOP**.
6. Strengthen abstraction:  $\alpha \leftarrow \alpha \wedge \phi[\mu/X]$



$$\exists p_1 \dots p_m \exists c_1 \dots c_n \forall X. \phi$$

$p_i$  predicates,  $c_i$  constants,  $X$  variables

1.  $\alpha \leftarrow \text{true}$
2. Find interpretation for  $\alpha$ :  $\mathcal{I} \leftarrow \text{SAT}(\alpha)$
3. If no interpretation, formula is *false*. **STOP**.
4. Test interpretation:  $\mu \leftarrow \text{SAT}(\exists X. \neg\phi[\mathcal{I}])$
5. If no counterexample, formula is *true*. **STOP**.
6. Strengthen abstraction:  $\alpha \leftarrow \alpha \wedge \phi[\mu/X]$
7. GOTO 2

1. Consider some finite grounding:

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \bigwedge_{\mu \in \omega} \cdot \phi[\mu]$$

$p_i$  predicates,  $c_j$  constants,

# Learning in Finite Models' CEGAR

1. Consider some finite grounding:

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \bigwedge_{\mu \in \omega} \cdot \phi[\mu]$$

$p_i$  predicates,  $c_j$  constants,

2. Calculate interpretation by e.g. Ackermanization.

# Learning in Finite Models' CEGAR

1. Consider some finite grounding:

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \bigwedge_{\mu \in \omega} \cdot \phi[\mu]$$

$p_i$  predicates,  $c_j$  constants,

2. Calculate interpretation by e.g. Ackermanization.
3. The interpretation only matters on the **existing ground terms**.

# Learning in Finite Models' CEGAR

1. Consider some finite grounding:

$$\exists p_1 \dots p_m \exists c_1 \dots c_n \bigwedge_{\mu \in \omega} \cdot \phi[\mu]$$

$p_i$  predicates,  $c_j$  constants,

2. Calculate interpretation by e.g. Ackermanization.
3. The interpretation only matters on the **existing ground terms**.
4. *Learn* entire interpretation from observing values of existing terms.

# Learning in Finite Models' CEGAR, Example

1.  $\forall X. p(X_1, \dots, X_n) \Leftrightarrow (X_1 = t)$

## Learning in Finite Models' CEGAR, Example

1.  $\forall X. p(X_1, \dots, X_n) \Leftrightarrow (X_1 = t)$
2. Ground by  $\{X_i \triangleq *_0\}$  and  $\{X_1 \triangleq *_1, X_1 \triangleq *_0 \dots X_n \triangleq *_0\}$ :

## Learning in Finite Models' CEGAR, Example

1.  $\forall X. p(X_1, \dots, X_n) \Leftrightarrow (X_1 = t)$
2. Ground by  $\{X_i \triangleq *_0\}$  and  $\{X_1 \triangleq *_1, X_1 \triangleq *_0 \dots X_n \triangleq *_0\}$ :
3.  $(p(*_0, \dots, *_0) \Leftrightarrow *_0 = t) \wedge (p(*_1, \dots, *_0) \Leftrightarrow *_1 = t)$



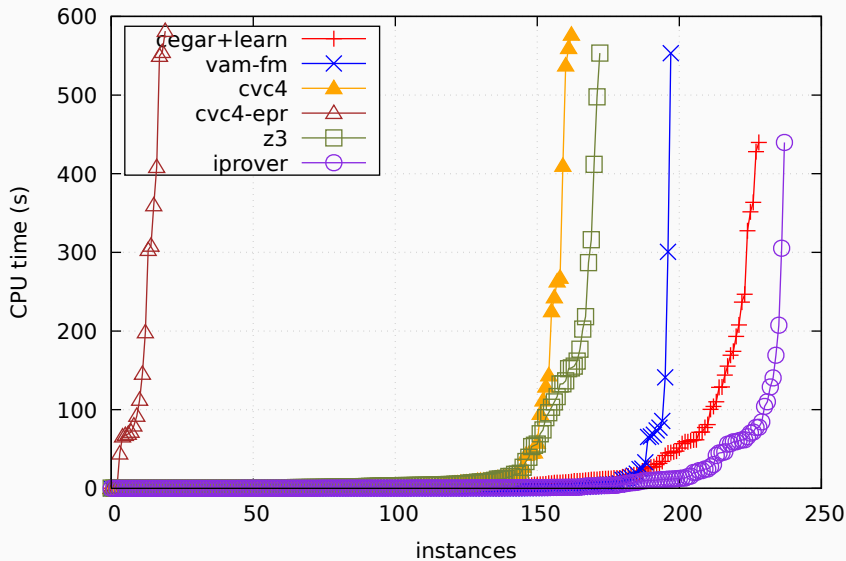
## Learning in Finite Models' CEGAR, Example

1.  $\forall X. p(X_1, \dots, X_n) \Leftrightarrow (X_1 = t)$
2. Ground by  $\{X_i \triangleq *_0\}$  and  $\{X_1 \triangleq *_1, X_1 \triangleq *_0 \dots X_n \triangleq *_0\}$ :
3.  $(p(*_0, \dots, * _0) \Leftrightarrow * _0 = t) \wedge (p(*_1, \dots, * _0) \Leftrightarrow * _1 = t)$
4. Partial interpretation:
  - $t \triangleq * _1$
  - $p(*_0 \dots, * _0) \triangleq \text{False}$
  - $p(*_1 \dots, * _0) \triangleq \text{True}$

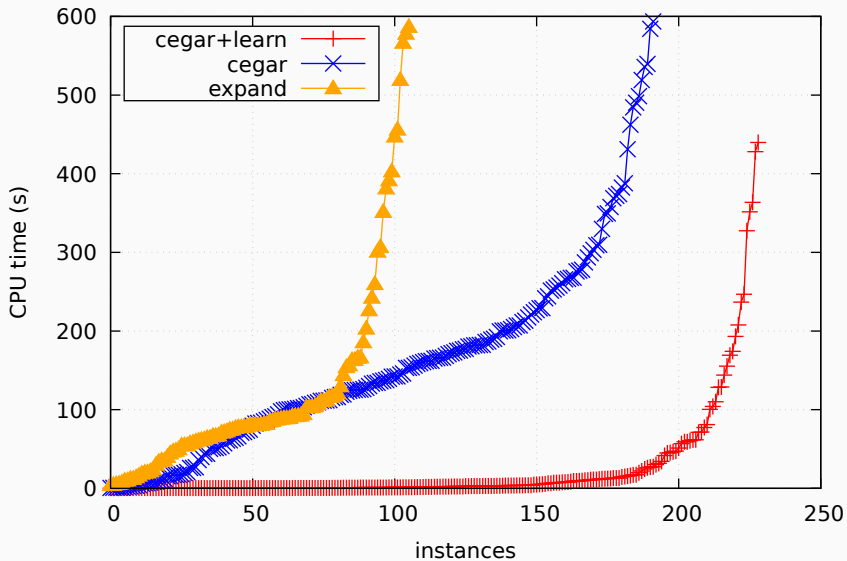
# Learning in Finite Models' CEGAR, Example

1.  $\forall X. p(X_1, \dots, X_n) \Leftrightarrow (X_1 = t)$
2. Ground by  $\{X_i \triangleq *_0\}$  and  $\{X_1 \triangleq *_1, X_1 \triangleq *_0 \dots X_n \triangleq *_0\}$ :
3.  $(p(*_0, \dots, * _0) \Leftrightarrow * _0 = t) \wedge (p(*_1, \dots, * _0) \Leftrightarrow * _1 = t)$
4. Partial interpretation:  
 $t \triangleq * _1$   
 $p(*_0 \dots, * _0) \triangleq \text{False}$   
 $p(*_1 \dots, * _0) \triangleq \text{True}$
5. Learn:  
 $t \triangleq * _1$   
 $p(X_1, \dots, X_n) \triangleq (X_1 = * _1)$

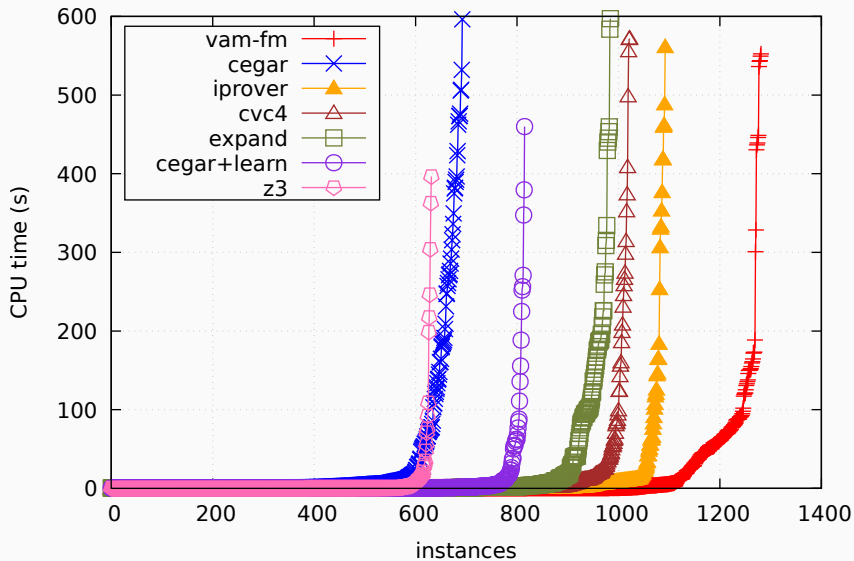
# Results EPR



# Results EPR: QFM



# Results SAT NON-EPR



# Summary and Future

- Observing a formula while solving, learn from that.

## Summary and Future

- Observing a formula while solving, learn from that.
- Learning objects in the considered theory. (rather than strategies, etc.)

## Summary and Future

- Observing a formula while solving, learn from that.
- Learning objects in the considered theory. (rather than strategies, etc.)
- Learning from Booleans:

For  $\dots \exists \mathbb{B}^n \forall \mathbb{B}^m \dots$ , learning  $\mathbb{B}^n \rightarrow \mathbb{B}$



## Summary and Future

- Observing a formula while solving, learn from that.
- Learning objects in the considered theory. (rather than strategies, etc.)
- Learning from Booleans:

For  $\dots \exists \mathbb{B}^n \forall \mathbb{B}^m \dots$ , learning  $\mathbb{B}^n \rightarrow \mathbb{B}$

- Learning interpretations in finite models from partial interpretations:

For  $\exists (D_1 \times \dots \times D_k \mapsto \mathbb{B}) \forall F_1 \times \dots \times F_l \dots$ ,  
learning  $D_1 \times \dots \times D_k \rightarrow \mathbb{B}$

## Summary and Future

- Observing a formula while solving, learn from that.
- Learning objects in the considered theory. (rather than strategies, etc.)
- Learning from Booleans:

For  $\dots \exists \mathbb{B}^n \forall \mathbb{B}^m \dots$ , learning  $\mathbb{B}^n \rightarrow \mathbb{B}$

- Learning interpretations in finite models from partial interpretations:

For  $\exists (D_1 \times \dots \times D_k \mapsto \mathbb{B}) \forall F_1 \times \dots \times F_l \dots$ ,

learning  $D_1 \times \dots \times D_k \rightarrow \mathbb{B}$

- How can we learn strategies based on functions?

## Summary and Future

- Observing a formula while solving, learn from that.
- Learning objects in the considered theory. (rather than strategies, etc.)
- Learning from Booleans:

For  $\dots \exists \mathbb{B}^n \forall \mathbb{B}^m \dots$ , learning  $\mathbb{B}^n \rightarrow \mathbb{B}$

- Learning interpretations in finite models from partial interpretations:

For  $\exists (D_1 \times \dots \times D_k \mapsto \mathbb{B}) \forall F_1 \times \dots \times F_l \dots$ ,

learning  $D_1 \times \dots \times D_k \rightarrow \mathbb{B}$

- How can we learn strategies based on functions?
- Infinite domains?

## Summary and Future

- Observing a formula while solving, learn from that.
- Learning objects in the considered theory. (rather than strategies, etc.)
- Learning from Booleans:

For  $\dots \exists \mathbb{B}^n \forall \mathbb{B}^m \dots$ , learning  $\mathbb{B}^n \rightarrow \mathbb{B}$

- Learning interpretations in finite models from partial interpretations:

For  $\exists (D_1 \times \dots \times D_k \mapsto \mathbb{B}) \forall F_1 \times \dots \times F_l \dots$ ,

learning  $D_1 \times \dots \times D_k \rightarrow \mathbb{B}$

- How can we learn strategies based on functions?
- Infinite domains?
- Learning in the presence of theories?

Thank You for Your Attention!

Questions?



J., M. (2018).

**Towards generalization in QBF solving via machine learning.**

In *AAAI Conference on Artificial Intelligence*.



J., M., Klieber, W., Marques-Silva, J., and Clarke, E. M. (2012).

**Solving QBF with counterexample guided refinement.**

In *SAT*, pages 114–128.



J., M. and Marques-Silva, J. (2011).

**Abstraction-based algorithm for 2QBF.**

In *SAT*.